

MAIL CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on August 26, 2002

3/21/02
Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No.: 101215-81

APPLICANT : Steffen Panzner et al.
APPLN. NUMBER : 10/081,617
FILED : February 21, 2002
FOR : Amphoteric Liposomes and Their Use

Asst. Commissioner of Patents
Washington, D.C. 20231

ATTENTION:
Refund Section
Accounting Division
Office of Finance

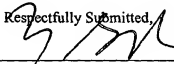
Sir:

We enclose a copy of the July 2002 Deposit Account Statement for deposit account no. 14-1263. Please note that on July 3, 2002, our deposit account was charged for \$65 for the late filing of the declaration and \$130 for the late filing of the English translation. In addition, the deposit account was charged \$40.00 for the recordal of the assignment on July 8, 2002. These charges are correct.

However, on July 25, 2002 our deposit account was credited \$65.00 for the late filing of the declaration and charged \$130.00. This is not correct. We were advised that the applicant qualifies for small entity after the three month period for requesting a partial refund of the filing fees had expired. The application does however qualify for small entity. The attached Fee

Transmittal Form filed with the declaration clearly indicates that applicant claims small entity status. Therefore, please refund the additional \$65.00 charged to our deposit account for the late filing of the declaration.

Respectfully Submitted,

A handwritten signature in dark ink, appearing to be 'G. M.', is written over a horizontal line.

Attorney for Applicant
Norris, McLaughlin & Marcus P.A.
220 East 42nd Street, 30th Floor
New York, N.Y. 10017
Telephone: (212) 808-0700
Telecopier: (212) 808-0844



UNITED STATES
PATENT AND
TRADEMARK OFFICE

**Deposit Account Statement**

Requested Statement Month: July 2002
 Deposit Account Number: 141263
 Name: NORRIS, MCLAUGHLIN & MARCUS
 Attention: WILLIAM R. ROBINSON
 Address: P.O. BOX 1018
 City: SOMERVILLE
 State: NJ
 Zip: 08876-1018

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	1	10018560	METAL1282-WC	968	-\$280.00	\$10,282.00
07/01	1	09284701	METAL 1269	121	\$280.00	\$10,002.00
07/01	2	08976820	BEIERSDORF-4	121	\$280.00	\$9,722.00
07/01	2	10018560	METAL1282-WC	581	\$40.00	\$9,682.00
07/01	3	10111747		970	\$890.00	\$8,792.00
07/01	4	09496015	DCR 205-WCG	203	\$63.00	\$8,729.00
07/01	7	PAYMENT		701	-\$10,000.00	\$18,729.00
07/01	11	PCT/US02/16559 NEW OBJ 204		151	\$700.00	\$18,029.00
07/01	12	PCT/US02/16559 NEW OBJ 204		801	\$9.00	\$18,020.00
07/01	13	PCT/US02/16559 NEW OBJ 204		566	\$15.00	\$18,005.00
07/01	18	10120690	TESAAG1528-W	105	\$130.00	\$17,875.00
07/01	48	09423146	METAL 1275-W	120	\$320.00	\$17,555.00
07/01	54	10100839	P09930 US	105	\$130.00	\$17,425.00
07/01	67	09743394	101195-39	279	\$370.00	\$17,055.00
07/01	68	09743394	101195-39	217	\$260.00	\$16,795.00
07/01	437	10049013	METAL 1291-W	154	\$130.00	\$16,665.00
07/02	1	10179794	BEIERSDOR572	101	\$740.00	\$15,925.00
07/02	94	10179864	100726-37 /	101	\$740.00	\$15,185.00
07/02	212	10103205	HERAEUS390-W	581	\$40.00	\$15,145.00
07/02	225	10169020	102460-2	971	\$445.00	\$14,700.00
07/02	226	10169020	102460-2	254	\$65.00	\$14,635.00
07/02	227	10169020	102460-2	965	\$1,050.00	\$13,585.00
07/02	228	10169020	102460-2	969	\$140.00	\$13,445.00
07/02	229	10169020	102460-2	967	\$342.00	\$13,103.00
07/02	381	09253213	BEIERSDORF	142	\$1,280.00	\$11,823.00
07/02	382	09253213	BEIERSDORF	561	\$9.00	\$11,814.00
07/02	383	09253213	BEIERSDORF	195	\$300.00	\$11,514.00
07/02	384	09403682	BEIERSDORF 57	142	\$1,280.00	\$10,234.00

07/02 385	09403682	BEIRSDORF 57	561	\$9.00	\$10,225.00
07/02 393	09814263	BAYER 9412.6	142	\$1,280.00	\$8,945.00
07/02 394	09814263	BAYER 9412.6	561	\$9.00	\$8,936.00
07/02 395	09814263	BAYER 9412.6	195	\$300.00	\$8,636.00
07/02 408	09554385	BAYER 10,210	142	\$1,280.00	\$7,356.00
07/02 409	09554385	BAYER 10,210	561	\$9.00	\$7,347.00
07/02 410	09410354	BEIERSDORF59	142	\$1,280.00	\$6,067.00
07/02 411	09410354	BEIERSDORF59	561	\$9.00	\$6,058.00
07/02 641	10110283	101137-37	581	\$40.00	\$6,018.00
07/03 4	08955125	BAYER 9972-W	131	\$740.00	\$5,278.00
07/03 5	08955125	BAYER 9972-W	115	\$110.00	\$5,168.00
07/03 14	PAYMENT		701	-\$10,000.00	\$15,168.00
07/03 129	09631011	101141-8	215	\$55.00	\$15,113.00
07/03 135	09409197	TESA 592-WCG	131	\$740.00	\$14,373.00
07/03 195	10081617	101215-81	205	\$65.00	\$14,308.00
07/03 196	10081617	101215-81	139	\$130.00	\$14,178.00
07/03 224	29163107	LANGORIA	106	\$330.00	\$13,848.00
07/03 225	29163107	LANGORIA	169	\$900.00	\$12,948.00
07/03 252	76425125	100796-13	361	\$325.00	\$12,623.00
07/03 253	76425126	100796-11	361	\$325.00	\$12,298.00
07/03 254	76425127	100796-12	361	\$325.00	\$11,973.00
07/03 255	76425128	100796-14	361	\$325.00	\$11,648.00
07/03 402	78140996		361	\$1,300.00	\$10,348.00
07/03 503	10169268	101257-8	971	\$445.00	\$9,903.00
07/03 504	10169268	101257-8	254	\$65.00	\$9,838.00
07/05 10	10184372	101137-39	101	\$740.00	\$9,098.00
07/05 11	10184372	101137-39	102	\$84.00	\$9,014.00
07/05 12	10184372	101137-39	103	\$396.00	\$8,618.00
07/05 13	10184372	101137-39	105	\$130.00	\$8,488.00
07/05 15	10105021	102228-3	581	\$40.00	\$8,448.00
07/05 34	09423490		704	-\$460.00	\$8,908.00
07/05 200	09445065	BEIERSDORF	117	\$920.00	\$7,988.00
07/05 315	09679568	3096	242	\$640.00	\$7,348.00
07/08 1	10049009	METAL 1289-W	581	\$40.00	\$7,308.00
07/08 1	09063745	BEIERSDORF-4	116	\$400.00	\$6,908.00
07/08 10	10185523	100727- /HE	101	\$740.00	\$6,168.00
07/08 20	09988510	TESA AG 1506	116	-\$400.00	\$6,568.00
07/08 47	PAYMENT		701	-\$10,000.00	\$16,568.00
07/08 71	09400655	BAYER 10.044	122	-\$130.00	\$16,698.00
07/08 159	10019360	BEIERSDORF 7	154	\$130.00	\$16,568.00
07/08 160	10019360	BEIERSDORF 7	116	\$400.00	\$16,168.00
07/08 165	10069553	101384-19	967	\$171.00	\$15,997.00
07/08 192	10019360	BEIERSDORF 7	581	\$40.00	\$15,957.00
07/08 238	10081617	101215-81	581	\$40.00	\$15,917.00
07/09 1	10186063	EISENFUHR 20	101	\$740.00	\$15,177.00
07/09 1	09445333	TTI219-KGB	119	\$320.00	\$14,857.00
07/09 5	09581413	100718-174	119	\$320.00	\$14,537.00
07/09 10	RE36213		184	\$2,020.00	\$12,517.00
07/09 11	5412130		184	\$2,020.00	\$10,497.00

07/23 155	1008101	311	\$300.00	\$29,429.00
07/23 168	78146247	361	\$975.00	\$25,450.00
07/23 277	76432665	101154-9 361	\$325.00	\$25,125.00
07/23 278	76432666	101154-10 361	\$325.00	\$24,800.00
07/23 553	78146482	361	\$325.00	\$24,475.00
07/23 556	78146484	361	\$325.00	\$24,150.00
07/24 1	09719320	BAYER10,218- 115	\$110.00	\$24,040.00
07/24 7	10198315	100717-552 / 101	\$740.00	\$23,300.00
07/24 145	10198471	102460-3 201	\$370.00	\$22,930.00
07/24 146	10198472	101215-100 201	\$370.00	\$22,560.00
07/24 147	10198472	101215-100 139	\$130.00	\$22,430.00
07/24 148	10198472	101215-100 205	\$65.00	\$22,365.00
07/25 1	10081617	101215-81 205	-\$65.00	\$22,430.00
07/25 2	10081617	101215-81 105	\$130.00	\$22,300.00
07/25 9	10123625	TESAAG1526WC 581	\$40.00	\$22,260.00
07/25 26	09901979	BAYER8890.4- 115	\$110.00	\$22,150.00
07/25 27	09901979	BAYER8890.4- 126	\$180.00	\$21,970.00
07/25 29	09916006	BEIRESDORF73 115	\$110.00	\$21,860.00
07/25 36	PCT/US02/19651	102313-009 566	\$75.00	\$21,785.00
07/25 55	09154123	101769-25 115	\$110.00	\$21,675.00
07/25 56	09154123	101769-25 120	\$320.00	\$21,355.00
07/25 160	4987459	185	\$3,100.00	\$18,255.00
07/25 161	4987846	185	\$3,100.00	\$15,155.00
07/25 353	78147314	361	\$325.00	\$14,830.00
07/26 1	09656598	BEIERSDORF --- 117	\$920.00	\$13,910.00
07/26 16	PCT/US02/19659	102313-006 566	\$30.00	\$13,880.00
07/26 16	PAYMENT	701	-\$20,000.00	\$33,880.00
07/26 110	09595033	HERAEUS372-W 119	\$320.00	\$33,560.00
07/26 111	09595033	HERAEUS372-W 116	\$400.00	\$33,160.00
07/26 139	10105832	101769-/TESA 105	\$130.00	\$33,030.00
07/26 140	10105832	101769-/TESA 115	\$110.00	\$32,920.00
07/26 183	10135798	BAYER10,233- 105	\$130.00	\$32,790.00
07/26 273	09775331	BAYER9414.4- 142	\$1,280.00	\$31,510.00
07/26 274	09775331	BAYER9414.4- 561	\$9.00	\$31,501.00
07/26 275	09775331	BAYER9414.4- 195	\$300.00	\$31,201.00
07/26 276	09297121	BAYER 10,177 142	\$1,280.00	\$29,921.00
07/26 277	09297121	BAYER 10,177 561	\$9.00	\$29,912.00
07/26 496	PCT/US02/19656	102313-002 P 801	\$72.00	\$29,840.00
07/26 497	PCT/US02/19656	102313-002 P 566	\$75.00	\$29,765.00
07/29 19	10201411	TESA AG 1546 101	\$740.00	\$29,025.00
07/29 20	10201411	TESA AG 1546 103	\$126.00	\$28,899.00
07/29 32	10202332	100717-557/B 101	\$740.00	\$28,159.00
07/29 83	10202366	TESA AG 1547 101	\$740.00	\$27,419.00
07/29 84	10202366	TESA AG 1547 103	\$270.00	\$27,149.00
07/29 92	10202571	BAYER 10236- 101	\$740.00	\$26,409.00
07/29 180	10198471	102460-3 581	\$40.00	\$26,369.00
07/29 354	10129908	101215-87 156	\$130.00	\$26,239.00
07/29 355	10031539	BEIERSDORF 7 154	\$130.00	\$26,109.00
07/29 356	10031539	BEIERSDORF 7 117	\$920.00	\$25,189.00

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$235.00

Complete If Known

Application Number	10/081,617
Filing Date	Concurrently Herewith
First Named Inventor	Steffen Panzer et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101215-01

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 14-1263

Deposit Account Name Norris, McLaughlin & Marcus

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code (S)	Fee Code (S)	Fee Description	Fee	Fee	Fee Paid
101 740	201 370	Utility filing fee			0.00
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			
SUBTOTAL (1)					\$0.00

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below	Fee Paid
Total Claims	-20+ = 0 X =	0.00	
Independent Claims	-3+ = 0 X =	0.00	
Multiple Dependent			

Large Entity / Small Entity

Large Entity		Small Entity			
Fee Code (S)	Fee Code (S)	Fee Description	Fee	Fee	Fee Paid
103 18	203 9	Claims in excess of 20			
102 84	202 42	Independent claims in excess of 3			
104 280	204 140	Multiple dependent claim. If not paid			
109 84	209 42	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)					\$0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

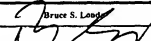
3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code (S)	Fee Code (S)	Fee Description	Fee	Fee	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath			65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
138 130	138 130	Non - English specification			130.00
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for reply within first month			
116 400	216 200	Extension for reply within second month			
117 920	217 460	Extension for reply within third month			
118 1,440	218 720	Extension for reply within fourth month			
128 1,960	228 980	Extension for reply within fifth month			
119 320	219 160	Notice of Appeal			
120 320	220 160	Filing a brief in support of an appeal			
121 260	221 130	Request for oral hearing			
138 1,510	138 1,510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,260	241 630	Petition to revive - unintentional			
142 1,260	242 630	Utility issue fee (or reissue)			
143 460	243 230	Design issue fee			
144 820	244 310	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Processing fee under 37 CFR § 1.17(c)			
128 180	128 180	Submission of Information Disclosure Statement			
581 40	581 40	Recording each patent assignment per property (times number of properties)			40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))			
179 740	279 370	Request for Continued Examination (RCE)			
189 900	189 900	Request for expedited examination of a design application			
Other fee (specify)					
SUBTOTAL (3)					\$235.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Bruce S. Lander

Signature 

Registration No.
(Attorney/Agent)

33,531

Complete (if applicable)

Telephone 212-408-0700

Date June 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.